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Dated: March 29, 2005

Signature:

(Michael J. Doherty)

Docket No.: SPINE 3.0-448 CONT  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Ralph et al.

Application No.: 10/783,292

Confirmation No.: 6146

Filed: February 18, 2004

Art Unit: 3738

For: INTERVERTEBRAL SPACER DEVICE  
HAVING A RADially THINNING  
BELLEVILLE SPRING

Examiner: B. Pellegrino

**NOTIFICATION OF LOSS OF ENTITLEMENT TO SMALL ENTITY STATUS**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Assignee, SpineCore, Inc., hereby notifies the Patent and Trademark Office that it is not entitled to status as a small entity, and that the claim for small entity status filed by the Applicant is hereby withdrawn.

Dated: March 29, 2005

Respectfully submitted,

By

Michael J. Doherty

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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: James D. Ralph and Stephen Tatar

Application No./Patent No.: 10/783,292 Filed/Issue Date: February 18, 2004

Entitled: INTERVERTEBRAL SPACER DEVICE HAVING A RADIALY THINNING BELLEVILLE SPRING

SpineCore, Inc., a Corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or  
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %  
in the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Ralph et al. To: SpineCore, LLC  
The document was recorded in the United States Patent and Trademark Office at Reel 012221, Frame 0713, or for which a copy thereof is attached.  
2. From: SpineCore, LLC To: Third Millennium Engineering, LLC  
The document was recorded in the United States Patent and Trademark Office at Reel 012850, Frame 0992, or for which a copy thereof is attached.  
3. From: Third Millennium Engineering, LLC To: SpineCore, Inc.  
The document was recorded in the United States Patent and Trademark Office at Reel 014344, Frame 0937, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.  
[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Michael J. Doherty  
Signature

March 29, 2005  
Date

MICHAEL J. DOHERTY  
Printed or Typed Name

(908) 518-6337  
Telephone Number

\_\_\_\_\_  
Authorized Signer for Assignee  
Title



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<b>REVOCATION OF POWER OF ATTORNEY WITH NEW POWER OF ATTORNEY AND CHANGE OF CORRESPONDENCE ADDRESS</b>	Application Number	10/783,292
	Filing Date	February 18, 2004
	First Named Inventor	James D. Ralph
	Art Unit	3738
	Examiner Name	B. Pellegrino
	Attorney Docket Number	SPINE 3.0-448 CONT

I hereby revoke all previous powers of attorney given in the above-identified application.

☐ A Power of Attorney is submitted herewith.

OR

☒ I hereby appoint the practitioners associated with the Customer Number:

☒ Please change the correspondence address for the above-identified application to:

☒ The address associated with Customer Number:

OR

☐ Firm or Individual Name

Address

City

Country

State

Zip

Telephone

Fax

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

**SIGNATURE of Applicant or Assignee of Record**

Name Joseph P. Errico, SpineCore, Inc.

Signature 

Date

9/21/04

Telephone

908-522-3460

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.



\*Total of 1 forms are submitted.